



Ministry of Transport

Performance Report - 2020

7th floor
Sethsiripaya Stage II
Battaramulla

July – 2021

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Chapter 01

1.1 Introduction

Transportation is an essential factor for the economic and social development of a country. It is very important that the products are transported to the market safely and efficiently and the necessary transport facilities are provided for the workforce to reach the workplaces in a timely and comfortable manner. Taking in to account the problems such as traffic congestion, increase in road accidents and environmental pollution that have arisen with the increasing demand for transport in parallel with the economic development and accordingly, making timely changes to the transportation sector, the Ministry of Transport is launching various projects / programs to direct the Sri Lanka's transport sector towards a new path.

The main function of the Ministry of Transport is to provide a quantitative and qualitative transport service in line with the "Vision of Prosperity and Splendour" policy framework by identifying, preparing, implementing and evaluating projects for the sustainable transport requirements with the contribution of the institutions under the Ministry. Accordingly, construction of new railway lines, purchase of new power sets and passenger compartments as well as development and maintenance of railway lines, buildings, bridges and signaling systems are being carried out to improve rail transport. In addition, making use of the new technology, work is also underway to introduce an easy and reliable prepaid card system for passengers and to develop a software for obtaining information on bus services and seat reservations through mobile phones and the internet. Also, as a social welfare measure, compensation was paid to 34 families who were victims of the "Hit and Run accidents".

The revenue of the Department of Railways significantly dropped in the face of the COVID 19 global epidemic that broke out in 2020. However, a number of health care measures were taken to ensure uninterrupted transport services to the people and procurement, rehabilitation of passenger compartments and railway tracks and improvement of sanitation facilities at railway stations were carried out to maintain the efficiency of the railway service. Further, the National Transport Commission (NTC) has granted a grace period to pay the permit renewal fees and the fees payable in calling of quotations, in consideration of the situation in which the inter-provincial bus services, which are operating under the permits issued by the NTC, had to be suspended completely. Arrangements were also made to implement a concessionary loan scheme of Rs. 300,000 through State Banks with the objective of securing the private passenger transport industry.

In addition, prioritizing the provision of transport facilities with hygiene to the public, disinfection of bus terminals, arranging facilities for handwashing were carried out and on declaration of holidays from 16.03.2020 onwards by the government, action was taken to

provide expeditious transport services to the people to travel towards their homes from Colombo and the suburbs.

The two projects, Greater Colombo Urban Transport Development Project (3K) and “Sahasara” Bus Modernization Project, which were previously implemented under the Ministry of Megapolis and Western Development during 2020, have initialized priority programs especially for the management of passenger buses.

2020 is a year in which successful results were achieved by taking policy decisions for efficient and effective discharge of the functions assigned to the Ministry and for utilization of the budgetary allocations with sound management, and by implementing such decision with the vital contribution made by all Divisions of the Ministry and the Department of Sri Lanka Railways, National Transport Commission and the National Council for Road Safety functioning under the Ministry.

1.2 Vision, Mission and Objectives of the organization

Vision

Sri Lanka to be the country with the premier
People Centered Transport System in the Region.

Mission

To use State of Art technology to implement,
develop, and sustain world class transport
infrastructure and services to enhance living
standard of the people

Objectives

- To Transport of passengers, goods and provide tourist travel facilities.
- To maintain transport service operating on a time schedule in order to prevent traffic congestion and road accidents while winning the public confidence.
- To strength institutional structure.
- To establish eco-friendly and passenger-friendly transport system linked to highways and railways.
- To Implement the National Transport Commission Act, No. 37 of 1991 and Railways Act, No. 18 of 1950.

1.3 Duties and Function

1. Providing policy guidance to the relevant State Ministry, and formulating policies in relation to the subject of Transport, in conformity with the prescribed Laws, Acts and Ordinances, implementation of projects under the national budget, state Investment and National Development Programme, and formulating, implementing, monitoring and evaluating policies, programmes and projects and functions under below-mentioned Departments, State Corporations and Statutory Institutions for the “Development of a transport network for an efficient and environmental friendly public transport” based on the national policies implemented by the government, and in accordance with the policy statement “Vistas of Prosperity and Splendour”
 - Department of Sri Lanka Railways
 - National Transport Commission
 - National Council on Road Safety
2. Formulating policies, rules and regulations required to ensure environmental friendly transport system in operation
3. Introducing necessary measures to establish a high standard in road facilities and public confidence in public transport
4. Introducing guidelines, rules and regulations to be followed in minimizing passenger and traffic congestion and road accidents
5. Introducing an advanced e-ticketing system for operating transport system under an integrated model based on a common schedule for the operation of public and private transport services and railway services
6. Developing related infrastructure ensuring safety and availability of common amenities at railway stations and bus stands
7. Maintenance of buses and trains necessary for bus and railway services
8. Introducing procurement methodologies required for building up local industry in relation to the manufacture of train compartments and buses
9. Establishing and broadening the facilities required for the transport of goods by train to Colombo, Hambanthota, Kankasanturei and Trincomalee harbours
10. Transforming the registration of motor vehicles into a people-friendly, efficient and corruption-free process by adoption of information technology

1.4 Organization structure

1.5 Departments under the Ministry

1. Department of Sri Lanka Railways

1.6 Institutions under the Ministry

1. National Transport Commission
2. National Council for Road Safety

1.7 Details of the Foreign Funded Projects

Name of the Project	Donor Agency	Estimated Cost of the Project	Project Duration
Matara – Kataragama Rail Road Development Project	Exim Bank (China)	US\$ Mn. 278.2	The project was completed on 31.12.2020.
Colombo Suburban Railway Project	Asian Development Bank Government of Sri Lanka	Rs. Mn. 1,456.50 Rs. Mn. 9,798.04	2016 - 2023
Railway Efficiency Improvement Project	Asian Development Bank Government of Sri Lanka	Rs. Mn. 28,232.00 Rs. Mn. 5,646.40	2019 - 2025

Chapter 02

Progress and future vision of the transport sector...

A passenger-centric and organized transport system is an essential component of a country's development, and the key role of the Ministry of Transport is to provide a transport service that qualitatively and quantitatively meets the needs of the public. As a developing country, Sri Lanka is in search of a targeted new path of economic development, and in this effort, the transport system, which is an essential service among the multiple services in a country, requires a parallel development. The Ministry of Transport has given high priority to achieving its targeted performance goals in line with the Government's National Policy Framework, 'Vistas of Prosperity and Splendour'.

A number of programs and projects have been implemented in the year 2020 and successful results have been achieved by investment of the financial allocations made in the Annual Budget for development of the transport services, in leading and productive transport sectors. Accordingly, the Department of Sri Lanka Railway and the National Transport Commission have taken a number of steps to provide an efficient and cheaper transport service to the public through bus and train-based services. Preliminary work on the Maho - Omanthai railway rehabilitation project, the South Payagala - Aluthgama double line railway construction Project and the Polgahawela - Kurunegala double line railway project was commenced to improve rail transport. Further, tenders have been awarded for the installation of 200 new railway crossings with a view to enhancing the efficiency of passenger and freight trains.

Under the new railway development project, the first phase of the Matara - Kataragama railway development project has been completed and the operation of trains is in progress effectively. Prefeasibility study is due to be conducted for the construction of the new railway line from Beliatta - Kataragama as the second phase. Land Acquisition, Compensation and Resettlement are currently underway under the Colombo Suburban Railway Project (CSRP) which is being implemented with the assistance of the Asian Development Bank. In parallel with the above project, the Railway Efficiency Improvement Project (REIP) is being implemented with the assistance of the Asian Development Bank loan. Under this project, purchases related to the Island-wide Railway Telecommunication System and the Island-wide Railway Ticket Purchase System have already been made. Preliminary surveying, soil testing and hydrological studies related to the new Kurunegala - Habarana railway line project have been completed.

The "Sisu Sariya" bus service being implemented for school children under a concessionary fare scheme, giving priority to a quality and social people-friendly road transport and the "Gami Sariya" and "Nisi Sariya" bus services established aiming at providing uninterrupted, reliable and cheaper transport facilities to satisfy the daily needs of the rural people, are in

successful operation. Accordingly, 49 new Sisu Sariya services, 13 new “Nisi Sariya” services and a new “Gami Sariya” service were launched in the year 2020. In addition, preliminary surveys were conducted to initiate the Park and Ride facility with the Makumbura Multimodal Center as the operation center of the service.

Tender process has been started for purchase of the “Back End” software in taking measures to introduce an easy and reliable prepaid card system to establish a value-added public transport service based on modern technology. Further, activities such as the development of a mobile phone app named “Mybus - SL” for obtaining bus information and reservation of seats have been accomplished.

The services including procurement and improvement of sanitary facilities were continuously carried out for efficient maintenance of the railway service in the face of the COVID-19 global pandemic that broke out in March, 2020. Further, a number of health care measures were taken including disinfection and providing facilities for hand washing at the Bastian Mawatha bus terminal. In addition, during the period of curfew, action was taken to maintain the operation of the 1955 hotline round the clock and respond to the public inquiries on public transportation.

With a view to creating a road accident-free society, the National Council on Road Safety conducted student training programmes at 10 schools and set up of school road safety zones, and carried out the welfare programme of providing compensation for 34 families victimized by hit and run accidents. Accordingly, by the end of 2020, a sum of Rs. 42.75 lakhs have been provided to the victims of unidentified road accidents. Further, a public awareness programme on the theme of “Are children on a safe journey” was conducted jointly by the Sri Lanka Automobile Association, Sri Lanka Police and the National Council on Road Safety in the Hambantota district under the patronage of the former Minister of Transport.

In addition, more than 2/3 of the total passenger buses in Sri Lanka are owned by the private sector. The "Sahasara" Bus Modernization Project has initiated to develop a formal management mechanism for this service. In addition Greater Colombo Urban Transport Development Project (3K) has initiated for establishing of multimodal transport hubs and introducing of digital technology for bus management in order to minimize traffic congestion in Colombo and other major cities.

The first step towards achieving a sustainable development by overcoming challenges in the transport sector of the country is to strengthen the public transport service and attracting passengers towards it. In order to achieve the same, modern technology based on the results of scientific research suitable for our country should be utilized for the advancement of the public transport sector. It is important to establish a good coordination between the researchers and the transport sector stakeholders to share the knowledge gained through the transport sector-related researches with the transport industry.

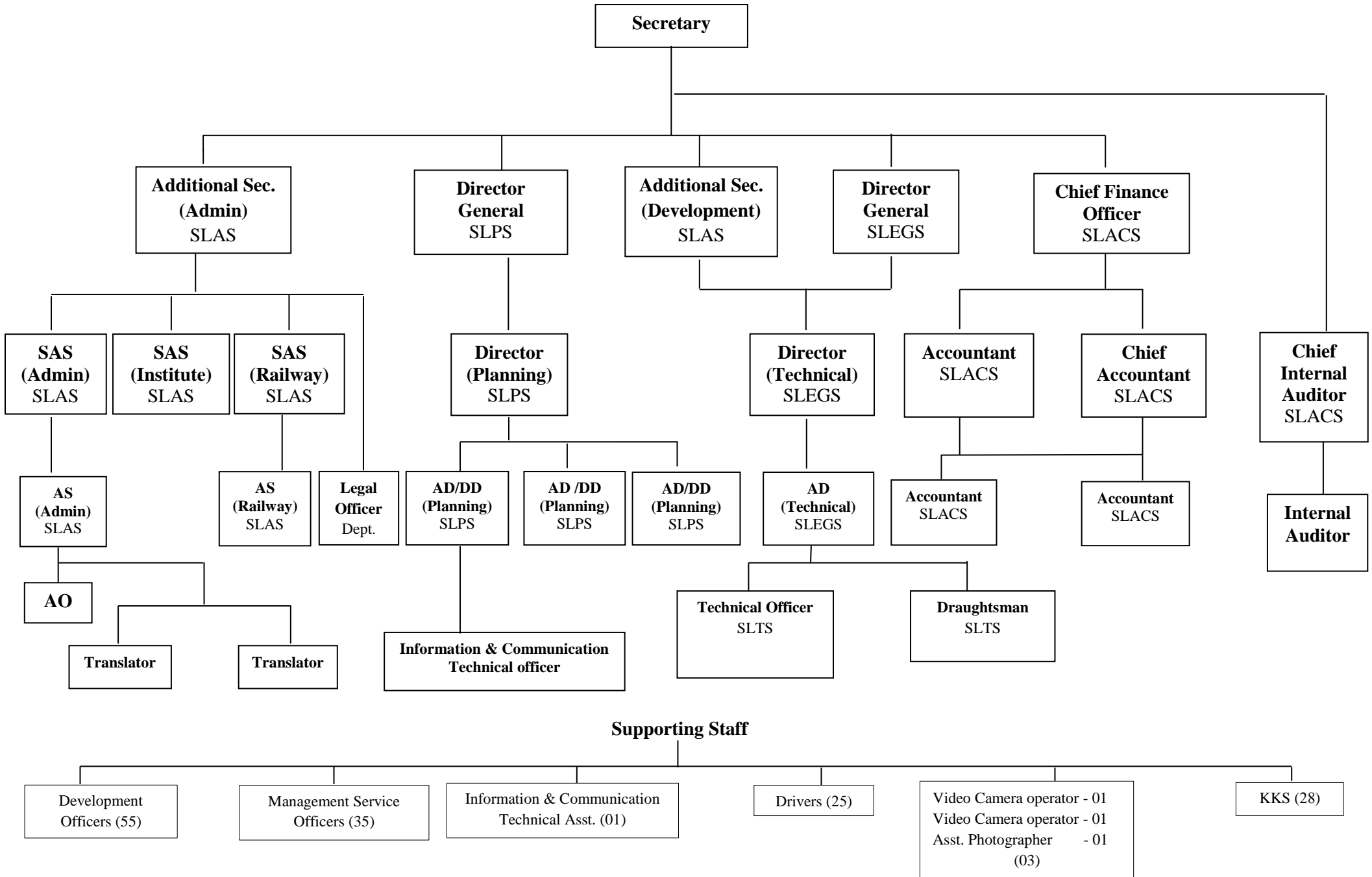
The transport sector is a decisive factor affecting the progress of all sectors of a country and it bears an importance for a country to align itself with global economic trends. Therefore, identifying the importance of acting with a future vision in a planned manner for overcoming the weaknesses of the transport sector, the Ministry of Transport completed the undertaking of compiling a mid-term plan for the land transport sector for the 2021-2025 periods with the direct contribution of all transport sector stakeholders, in the latter half of 2020. The objective of this Ministry is to make this plan a reality and provide an efficient transport service of high standard to the public.

N.B.M. Ranatunge

Secretary

Ministry of Transport

Ministry of Transport



Chapter 03

Overall Financial Performance for the Year ended 31st December 2020

3.1 Statement of Financial Performance

ACA -F

Statement of Financial Performance for the period ended 31st December 2020

Rs.

Budget		Actual		
2020	Note	2020	2019	
-	Revenue Receipts	-	24,049,292,729	
-	Income Tax	1	-	} ACA-1
-	Taxes on Domestic Goods & Services	2	-	
-	Taxes on International Trade	3	-	
-	Non Tax Revenue & Others	4	24,049,292,729	
-	Total Revenue Receipts (A)	-	24,049,292,729	
-	Non-Revenue Receipts	-	-	
-	Treasury Imprests	11,047,156,399	17,213,285,456	} ACA-3
-	Deposits	13,194,696	12,140,695	} ACA-4
-	Advance Accounts	13,360,288	11,581,564	} ACA-5/5(a)
-	Other Receipts	105,125,473	18,920,731	
-	Total Non-Revenue Receipts (B)	11,178,836,855	17,255,928,445	
-	Total Revenue Receipts & Non Revenue Receipts C = (A)+(B)	11,178,836,855	41,305,221,174	
	Less: Expenditure			
-	Recurrent Expenditure	-	-	
	Wages, Salaries & Other			} ACA-2(ii)
141,889,000	Employment Benefits	5	141,618,362	
148,717,000	Other Goods & Services	6	146,492,653	
			147,332,392	
			169,060,848	

651,955,000	Subsidies, Grants and Transfers	7	403,809,824	13,859,861,283	
-	Interest Payments	8	-	-	
317,000	Other Recurrent Expenditure	9	316,653	-	
<u>942,878,000</u>	Total Recurrent Expenditure (D)		692,237,491	14,176,254,523	
	Capital Expenditure				
1,207,000	Rehabilitation & Improvement of Capital Assets	10	401,458	845,194	} ACA-2(ii)
11,564,118,000	Acquisition of Capital Assets	11	8,992,727,539	4,133,251,654	
-	Capital Transfers	12	-	2,659,618,807	
720,000	Acquisition of Financial Assets	13	-	-	
4,460,247,000	Capacity Building Other Capital Expenditure	14 15	381,500 4,202,109,482	639,200 -	
<u>16,026,292,000</u>	Total Capital Expenditure (E)		13,195,619,979	6,794,354,855	
	Main Ledger Expenditure (F)		22,266,559	23,082,546	
	Deposit Payments		11,513,727	12,820,733	} ACA-4 ACA-5/5(a)
	Advance Payments		10,752,832	10,261,813	
	Total Expenditure G = (D+E+F)		13,910,124,029	20,993,691,925	
<u>16,969,170,000</u>	Imprest Balance as at 31st December 2019				
	H = (C-G)		(2,731,287,174)	20,311,529,249	

3.2 Statement of Financial Position

ACA-P

Statement of Financial Position

As at 31st December - 2020

	Note	Actual	
		2020 Rs	2019 Rs
<u>Non-Financial Assets</u>			
Property, Plant & Equipment	ACA-6	49,524,851,468	41,141,155,251
<u>Financial Assets</u>			
Advance Accounts	ACA-5/5(a)	31,609,959	34,217,415
Cash & Cash Equivalents	ACA-3	-	713,805
Total Assets		49,556,461,427	41,176,086,471
<u>Net Assets / Equity</u>			
Net Worth to Treasury		29,218,596	33,507,021
Property, Plant & Equipment Reserve		49,524,851,468	41,141,155,251
Rent and Work Advance Reserve	ACA-5(b)		
<u>Current Liabilities</u>			
Deposits Accounts	ACA-4	2,391,363	710,394
Imprest Balance	ACA-3	-	713,805
Total Liabilities		49,556,461,427	41,176,086,471

Detail Accounting Statements in above ACA format Nos. 1 to 6 presented in pages from 04 To 62 And Notes to accounts presented in pages from 63 to 80 form and integral parts of these Financial Statements. The Financial Statements have been prepared in complying with the Generally Accepted Accounting Principles whereas most appropriate Accounting Policies are used as disclosed in the Notes to the Financial Statements and hereby certify that figures in these Financial Statements, Notes to accounts and other relevant accounts were reconciled with the Treasury Books of Accounts and found to in agreement.

.....
 Chief Accounting Officer
 Name : N.B.M. Ranatunge
 Designation : Secretary
 Date :

.....
 Accounting Officer
 Name :
 Designation :
 Date :

.....
 Chief Financial Officer/ Chief Accountant
 Name : A.A.T.K. Adhikari
 Date :

3.3 Statement of Cash Flows

ACA-C

Statement of Cash Flows
for the Period ended 31st December - 2020

	Actual	
	2020 Rs.	2019 Rs.
<u>Cash Flows from Operating Activities</u>		-
Total Tax Receipts	-	-
Fees, Fines, Penalties and Licenses	-	-
Profit	-	-
Non-Revenue Receipts	28,891,821	-
Revenue Collected for the Other Heads	11,247,597	22,817,804
Imprest Received	11,047,156,399	17,213,285,456
Total Cash generated from Operations (a)	11,087,295,817	17,236,103,260
<u>Less - Cash disbursed for:</u>		
Personal Emoluments & Operating Payments	285,893,255	152,157,969
Subsidies & Transfer Payments	7,850,000,000	16,835,897,448
Expenditure on Other Heads	-	154,964,924
Finance Costs - Imprest Settlement to Treasury	713,805	-
Total Cash disbursed for Operations (b)	8,136,607,060	17,143,020,341
NET CASH FLOW FROM OPERATING ACTIVITIES (C)=(a)-(b)	2,950,688,757	93,082,919
<u>Cash Flows from Investing Activities</u>		
Interest	-	-
Dividends	-	-
Divestiture Proceeds & Sale of Physical Assets	-	-
Recoveries from On Lending	1,061,793	-
Recoveries from Advance	-	1,456,308
Total Cash generated from Investing Activities (d)	-	-

	1,061,793	1,456,308
<u>Less - Cash disbursed for:</u>		
Purchase or Construction of Physical Assets & Acquisition of Other Investment	2,948,144,923	86,559,909
Advance Payments	8,026,468	8,428,986
Total Cash disbursed for Investing Activities (e)	2,956,171,391	94,988,895
NET CASH FLOW FROM INVESTING ACTIVITIES(F)=(d)-(e)	(2,955,109,598)	(93,532,587)
NET CASH FLOWS FROM OPERATING & INVESTMENT ACTIVITIES (g)=(c) + (f)	(4,420,841)	(449,668)
<u>Cash Flows from Financing Activities</u>		
Local Borrowings	-	-
Foreign Borrowings	-	-
Grants Received	-	-
Deposit Received	10,706,242	5,273,811
Total Cash generated from Financing Activities (h)	10,706,242	5,273,811
<u>Less - Cash disbursed for:</u>		
Repayment of Local Borrowings	-	-
Repayment of Foreign Borrowings	-	-
Change in Deposit Accounts and Other Liabilities	6,285,401	4,824,143
Total Cash disbursed for Financing Activities (i)	6,285,401	4,824,143
NET CASH FLOW FROM FINANCING ACTIVITIES (J)=(h)-(i)	4,420,841	449,668
Net Movement in Cash (k) = (g) -(j)	-	-
Opening Cash Balance as at 01st January	-	-
Closing Cash Balance as at 31st December	-	-

3.4 Notes to the Financial Statements

3.5 Performance of the Revenue Collection

Rs. ,000

Revenue Code	Description of the Revenue Code	Revenue Estimate		Collected Revenue	
		Original	Final	Amount (Rs.)	As a % of Final Revenue Estimate
N/A					

3.6 Performance of the Utilization of Allocation

Rs. 000,

Type of Allocation	Allocation		Actual Expenditure	Allocation Utilization as a % of final Allocation
	Original	Final		
Recurrent	922,978,000	942,878,000	692,237,491	73.42
Capital	14,080,292,000	16,026,292,000	13,195,619,979	82.34

3.7 In the terms of F.R. 208 grant of allocations for expenditure to this Department / District Secretarial / Provincial Council as an agent of the other Ministries / Departments

Rs. ,000

Serial No.	Allocation received from which Ministry / department	Purpose of the Allocation	Allocation		Actual Expenditure	Allocation Utilization as a % of Final allocation
			Original	Final		
	Ministry of Public Administration	Payment of Allowances to Graduates Trainees	1,200,000	1,200,000	1,197,204.30	99.77%
	Ministry of Economic Affairs	Payment of Allowances to Graduates Trainees	2,800,000	2,800,000	2,651,848.94	94.71%

3.8 Performance of the Reporting of Non – Financial Assets

					Rs. ,000
Assets Code	Code Description	Balance as per board of survey report as at 31.12.2020	Balance as per financial position report as at 31.12.2020	Yet to be Accounted	Reporting Progress as a %
9151	Building and Structures		1,637,965,081		
9152	Machinery and Equipment		509,769,275		
9153	Land		3,933,309,595		
9154	Intangible Assets		-		
9155	Biological Assets		-		
9160	Work in Progress		43,445,807,517		
9180	Lease Assets		-		

3.9 Auditor General's Report**

** The final audit report issued by the Auditor General to be scanned and placed here while submitting to the parliament.

(Annexure - 01)

Chapter 04

Performance indicators

4.1 Performance indicators of the Institute (Based on the Action Plan)

Specific Indicators	Actual output as a percentage (%) of the expected output		
	100% - 90%	75% - 89%	50% - 74%
Rail Sector			
➤ Number of freight trains			√
➤ Number of trains being operated per day	√		
➤ Number of major railway projects	√		
➤ Constructed railway lines (km in length)	√		
Bus Sector			
➤ No. of new Sisu sariya, Gemi sariya and Nisi sariya bus services			√
➤ No. of bus employees trained per year			√
➤ No. of time tables implemented (Combined and Non-Combined)		√	
Ministry Key Performance Indicators			
➤ Number of progress meetings held			√
➤ Number of projects being implemented to improve the efficiency of public transport service.	√		
➤ Number of trainings given for capacity building of the officers.			√
➤ Number of reports to be presented to the parliament annually.	√		
➤ Percentage spent from the treasury provisions received to the Ministry		√	
➤ Number of audit and management committees held within the year.		√	
➤ Number of reports presented monthly and quarterly to the relevant institute by the ministry.	√		

Chapter 05

Performance of the achieving Sustainable Development Goals (SDG)

5.1 Indicate the Identified respective Sustainable Developments Goals

Goal/ Objective	Targets	Indicators of the achievement	Progress of the Achievement to date		
			0% - 49%	50% - 74%	75% - 100%
Ensure healthy life and promote welfare of all age groups	Reduce the number of injuries and deaths due to road accidents by 2030	Mortality (based on year 2019)	√		
Making cities and settlements perfect, safe, robust and sustainable	Increasing the safety of highways with special attention on vulnerable women, children, disabled persons and the elderly, providing sustainable transport system that is safe, affordable and accessible to everyone by expansion of public transport system significantly by year 2030.	1. Number of freight trains		√	
		2. Number of trains being operated per day			√
		3. Number of major railway projects			√
		4. No of new Sisu sariya, Gami sariya and Nisi sariya bus services		√	

5.2 Briefly explain the achievements and challenges of the Sustainable Development Goals

The Ministry of Transport has taken a number of steps towards establishing a quantitatively and qualitatively strong transport service by 2030 in line with the United Nations Sustainable Development Agenda.

The Ministry of Transport has implemented various programs / projects under the 11th Sustainable Development Goal - Providing access to safe, affordable, accessible and sustainable transportation systems for all, improving road safety, expanding public transportation and focusing on the needs of women, children, the disabled and the elderly, by 2030. Accordingly, steps have been taken to launch a public bus service under the Park and Ride initiative, an eco-friendly and economically viable transport facility. Through this

project which is being implemented with the objective of attracting passengers towards public bus services in the face of the increasing demand for transport services, it is intended to minimize traffic congestion during morning and evening rush hours, reduce fuel consumption for transportation, minimize the pollution caused by vehicle emissions, reduce road accidents and save time.

Further, the “Sisuseriya” service, a safe and reliable public transport bus service for children, the “Gemiseriya” service, a bus service for the people living far from the city and the “Nisiseriya” service, a bus service for providing transport services at night and in early morning, are currently being operated successfully.

It will be possible to provide an efficient public transport to the people through the Matara-Kataragama Railway Project, Kurunegala - Habarana Railway Project and the Colombo Suburban Railway Project implemented prioritizing development of quality, reliable, sustainable and resilient infrastructure, including regional and international infrastructure as set out under the 9th Sustainable Development Goal. In addition, purchase of new train, rehabilitation of passenger compartments and continuous maintenance and repair of the fleet were carried out to strengthen the train fleet, and further, elevation and extension of railway platforms were done to ensure passenger comfort and safety.

With a view to achieving the 3rd Sustainable Development Goal – Reducing the number of global deaths and injuries from road accidents by half, student training programs on road safety were conducted in 10 schools and school road safety zones were set up.

The Ministry of Transport has to face various challenges in achieving the Sustainable Development Goals. Problems in securing investment for large capital projects, strikes and labor issues and land issues are examples for major challenges. Weaknesses in practical application of the findings of the research conducted in the field of transport for the betterment of the field also have a negative impact on the achievement of sustainable goals. The lack of opportunity for new technology based-innovations has also directly led to the current situation.

Chapter 06

Human Resource Profile

6.1 Cadre Management

	Approved Cadre	Existing Cadre	Vacancies / (Excess)**
Senior	24	21	3
Territory	4	4	0
Secondary	96	92	4
Primary	55	51	4

6.2 ** Briefly state how the shortage or excess in human resources has affected to the performance of the institute

Human resource shortage has a direct impact on the achievement of the Ministry's vision and mission, and there is the possibility of extending the time required to achieve the desired objectives.

6.3 Human Resource Development

Name of the Program	No. of staff trained	Duration of the program	Total Investment (Rs'000)		Nature of the Program (Foreign /Local)	Output/ Knowledge Gained *
			Local	Foreign		
Eighty – Second Annual Session of the UN Economic Commission for Europe (UNECE) Inland Transport Committee (ITC)	01	February 23-29	-	690,524.51	Foreign	
Transport and Climate change week 2020	01	March 01-07	-	120,169.72	Foreign	
Higher National Diploma in Public Procurement and Contract Administration 2020/2021	01	14 Months	120,000.00	-	Local	

Master of Law (L.L.M.)	01	02 Years	151,500.00	-	Local	
MPM (2018-2020)	01	02 Years	100,000.00	-	Local	
Awareness seminar on Establishment Code and Staffing Procedure	25	01 Day	10,000.00	-	Local	
Total Investment			381,500.00	810,694.23		

* Briefly state how the training program contributed to the performance of the institution

The staff officers and other officers can perform the duties assigned to them in the Ministry more accurately and efficiently through their participation for the training programmes. In addition, staff officers have been able to improve their efficiency and effectiveness by participating in foreign training programs and adapting the experience obtained for their duties. Similarly, officers are also motivated by foreign training and the knowledge and skills acquired through them have enabled the development of the transport sector. (The relevant certificates and reports have been submitted to the Ministry.)

Chapter 07

Compliance report

No.	Applicable Requirement	Compliance Status (Complied/ Not Complied)	Brief explanation for Non Compliance	Corrective actions proposed to avoid non – compliance in future
1	The following Financial statements/accounts have been submitted on due date			
1.1	Annual financial statements	Complied		
1.2	Advance to public officers account	Complied		
1.3	Trading and Manufacturing Advance Accounts (Commercial Advance Accounts)	-		
1.4	Stores Advance Accounts	-		
1.5	Special Advance Accounts	-		
1.6	Others	-		
2	Maintenance of books and registers (FR445)			
2.1	Fixed assets register has been maintained and update in terms of public administration Circular 267/2018	Complied		
2.2	Personal emoluments register/Personal emoluments cards has been maintained and update	Complied		
2.3	Register of Audit queries has been maintained and update	Complied		
2.4	Register of Internal Audit reports has been maintained and update	Complied		
2.5	All the monthly account summaries (CIGAS) are prepared and submitted to the Treasury on due date	Complied		
2.6	Register for cheques and money orders has been maintained and update	Complied		
2.7	Inventory register has been maintained and update	Complied		
2.8	Stocks Register has been maintained and update	Complied		
2.9	Register of Losses has been maintained and update	Complied		
2.10	Commitment Register has been maintained and update	Complied		
2.11	Register of Counterfoil Books (GA – N20) has been maintained and update	Complied		

3	Delegation of Functions for financial control (FR 135)			
3.1	The financial authority has been delegated within the institute	Complied		
3.2	The delegation of financial authority has been communicated within the institute	Complied		
3.3	The authority has been delegated in such manner so as to pass each transaction through two or more officers	Complied		
3.4	The controls has been adhered to by the Accounts in terms of State Account Circular 171/2004 dated 11.05.2014 in using the Government Payroll Software Package	Complied		
4	Preparation of Annual Plans			
4.1	The annual action plan has been prepared	Complied		
4.2	The annual procurement plan has been prepared	Complied		
4.3	The annual Internal Audit plan has been prepared	Complied		
4.4	The annual estimate has been prepared and submitted to the NBD on due date	Complied		
4.5	The annual cash flow has been submitted to the Treasury Operations Department on time	Complied		
5	Audit queries			
5.1	All the audit queries has been replied within the specified time by the Auditor General	Not Complied	Non-receipt of answers from other institutions and projects on due date.	Institutions and projects have been informed about this.
6	Internal Audit			
6.1	The internal audit plan has been prepared at the beginning of the year after consulting the Auditor General in terms of Financial Regulation 134(2) DMA/1-2019	Complied		
6.2	All the internal audit reports has been replied within one month	Complied		
6.3	Copies of all the internal audit reports has been submitted to the Management Audit Department in terms of Sub-section 40(4) of the National Audit Act No. 19 of 2018	Complied		

6.4	All the copies of internal audit reports has been submitted to the Auditor General in terms of Financial Regulation 134(3)	Complied		
7	Audit and Management Committee			
7.1	Minimum 04 meetings of the Audit and Management Committee has been held during the year as per the DMA Circular 1-2019	Complied		
8	Asset Management			
8.1	The information about purchases of assets and disposals was submitted to the Comptroller General's Office in terms of Paragraph 07 of the Asset Management Circular No. 01/2017	Complied		
8.2	A suitable liaison officer was appointed to coordinate the implementation of the provisions of the circular and the details of the nominated officer was sent to the Comptroller General's Office in terms of Paragraph 13 of the aforesaid circular	Complied		
8.3	The boards of survey was conducted and the relevant reports submitted to the Auditor General on due date in terms of Public Finance Circular No. 05/2016	Complied		
8.4	The excesses and deficits that were disclosed through the board of survey and other relating recommendations, actions were carried out during the period specified in the circular	Complied		
8.5	The disposal of condemn articles had been carried out in terms of FR 772	Complied		
9	Vehicle Management			
9.1	The daily running charts and monthly summaries of the pool vehicles had been prepared and submitted to the Auditor General on due date	Not - Complied	Due to the Corona pandemic, a lesser number of officers report for duty	Since all officers of the division are expected to report for duty in 2021, there will be no issue.
9.2	The condemned vehicles had been disposed of within a period of less than 6 months after condemning	Not - Complied	Delay in taking over the ownership of vehicles to Ministry for disposal of vehicles.	Information required for proper acquisition of ownership of the relevant vehicles to the Ministry has sent to the Dept. of Motor Traffic.

9.3	The vehicle logbooks had been maintained and updated	Complied		
9.4	The action has been taken in terms of FR 103, 104, 109 and 110 with regard to every vehicle accident	Complied		
9.5	The fuel consumption of vehicles has been re-tested in terms of the provisions of Paragraph 3.1 of the Public Administration Circular No. 30/2016 of 29.12.2016	Complied		
9.6	The absolute ownership of the leased vehicle log books has been transferred after the lease term	Complied		
10	Management of Bank Accounts			
10.1	The bank reconciliation statements had been prepared, got certified and made ready for audit by the due date	Complied		
10.2	The dormant accounts that had existed in the year under review or since previous years settled	Complied		
10.3	The action had been taken in terms of Financial Regulations regarding balanced that had been reconciliation statements and for which adjustments had to be made, and had those balances been settled within one month	Complied		
11	Utilization of Provisions			
11.1	The provisions allocated had been spent without exceeding the limit	Complied		
11.2	The liabilities not exceeding the provisions that remained at the end of the year as per the FR 94(1)	Complied		
12	Advances to Public Officers Account			
12.1	The limits had been complied with	Complied		
12.2	A time analysis had been carried out on the loans in arrears	Complied		
12.3	The loan balances in arrears for over one year had been settled	Non Complied	Taking legal action	
13	General Deposit Account			
13.1	The action had been taken as per FR 571 in relation to disposal of lapsed deposits	Complied		
13.2	The control register for general deposits had been updated and maintained	Complied		

14	Imprest Account			
14.1	The balance in the cash book at the end of the year under review remitted to TOD	Complied		
14.2	The ad-hoc sub imprests issued as per FR 371 settled within one month from the completion of the task	Complied		
14.3	The ad-hoc sub imprests had not been issued exceeding the limit approved as per FR 371	Complied		
14.4	The balance of the imprest account had been reconciled with the Treasury books monthly	Complied		
15	Revenue Account			
15.1	The refunds from the revenue had been made in terms of the regulations	Complied		
15.2	The revenue collection had been directly credited to the revenue account without credited to the deposit account	Complied		
15.3	Returns of arrears of revenue forward to the Auditor General in terms of FR 176	Complied		
16	Human Resource Management			
16.1	The staff had been paid within the approved cadre	Complied		
16.2	All members of the staff have been issued a duty list in writing	Complied		
16.3	All reports have been submitted to MSD in terms of their circular no. 04/2017 dated 20.09.2017	Complied		
17	Provision of information to the public			
17.1	An information officer has been appointed and a proper register of information is maintained and updated in terms of Right To Information Act and Regulation	Complied		
17.2	Information about the institution to the public have been provided by Website or alternative measures and has it been facilitated to appreciate/ allegation to public against the public authority by this website or alternative measures	Complied		
17.3	Bi-Annual and Annual reports have been submitted as per section 08 and 10 of the RTI Act	Not - Complied	These reports are expected to be prepared and submitted in due course for year 2019 according to the standard models introduced.	

18	Implementing citizens charter			
18.1	A citizens charter/ Citizens client's charter has been formulated and implemented by the Institution in terms of the circular number 05/2008 and 05/2018(1) of Ministry of Public Administration and Management	Not - Complied	Due to the change of Ministries, the subject scope, the administrative authority and the staff and owing to the prevailing COVID 19 pandemic situation, such work has been delayed and action will be taken in this regard in future.	
18.2	A methodology has been devised by the Institution in order to monitor and assess the formulation and the implementation of Citizens Charter/ Citizens client's charter as per paragraph 2.3 of the circular	Not-Complied		
19	Preparation of the Human Resource Plan			
19.1	A human resource plan has been prepared in terms of the format in Annexure 02 of Public Administration Circular no. 02/2018 dated 24.01.2018	Not - Complied	Due to the change of Ministries, the subject scope, the administrative authority and the staff and owing to the prevailing COVID pandemic situation, carrying out of the actions specified in these circulars has been delayed, and measures will be taken to accomplish them in future.	
19.2	A minimum training opportunity of not less than 12 hours per year for each member of the staff has been ensured in the aforesaid Human Resource Plan	Not - Complied		
19.3	Annual performance agreements have been signed for the entire staff based on the format in Annexure 01 of the aforesaid Circular	Not - Complied		
19.4	A senior officer was appointed and assigned the responsibility of preparing the human resource development plan, organizing capacity building programs and conducting skill development programs as per paragraph no.6.5 of the aforesaid circular	Not - Complied		
20	Responses Audit Paras			
20.1	The shortcomings pointed out in the audit paragraphs issued by the Auditor General for the previous years have been rectified	Complied		